

Restrictions of Unitary Representations to Lattices and Associated C^* -Algebras

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Let G be a connected simple Lie group with finite centre, and let Γ be a lattice in G . Let π be an irreducible unitary representation of G , and let $\pi|_{\Gamma}$ be the restriction of π to Γ . A result by Cowling and Steger states that $\pi|_{\Gamma}$ remains irreducible if π is not a discrete series representation and that $\pi|_{\Gamma}$ is determined by π . Our first result shows that even the weak equivalence class of $\pi|_{\Gamma}$ is determined by π when π is a complementary series representation. Let $C_{\pi}^*(\Gamma)$ denote the C^* -algebra generated by all $\pi(\gamma)$ for γ in Γ . We show that $C_{\pi}^*(\Gamma)$ has a unique maximal two-sided ideal and a unique normalized trace. © 1997 Academic Press

1. INTRODUCTION AND STATEMENT OF THE RESULTS

Let G be a connected semisimple Lie group with finite centre, and let Γ be a lattice in G , that is, Γ is a discrete subgroup of G with finite covolume. Denote by \hat{G} and $\hat{\Gamma}$ the set of equivalence classes of irreducible unitary representations of G and Γ , respectively. A remarkable theorem by M. Cowling and T. Steger asserts that most irreducible unitary representations of G when restricted to Γ remain irreducible and are determined by these restrictions. More precisely, the following holds.

THEOREM A. ([CoS]) *Let π and π' be irreducible unitary representations of G . Assume that π and π' are not square integrable. Then*

- (i) *the restriction $\pi|_{\Gamma}$ is irreducible;*
- (ii) *if $\pi|_{\Gamma}$ and $\pi'|_{\Gamma}$ are unitarily equivalent, then so are π and π' .*

Now \hat{G} and $\hat{\Gamma}$ carry a topology (see below) so that a natural problem is to study the topological properties of the map $\pi \mapsto \pi|_{\Gamma}$. For instance, it was shown in [BeV] that, for most lattices Γ , the image of this map is not dense in $\hat{\Gamma}$.

Our aim in this paper is to investigate the spectrum of the restriction to Γ of an irreducible unitary representation π of G as above. We shall also

study the C^* -algebras associated to such restrictions. This is a family of C^* -algebras generalising the reduced C^* -algebras $C_r^*(\Gamma)$ of Γ . Some previous results were obtained in [BeH] for which this paper may be considered as continuation.

By spectrum of a representation ρ of a locally compact group H , we mean the set of all σ in \hat{H} that are weakly contained in ρ . Recall that σ is weakly contained in \hat{H} if every positive definite function associated with σ is uniform limit over compacta of sums of positive definite functions associated with ρ . Denoting by the same letters the extensions of ρ and σ to the (maximal) C^* -algebra $C^*(H)$ of H , this is equivalent to saying that $C^* \text{Ker } \rho$ is contained in $C^* \text{Ker } \sigma$. As usual, σ and ρ are said to be weakly equivalent if each of them is weakly obtained in the other. When restricted to \hat{H} , weak containment defines a topology on \hat{H} . This is the weakest topology on \hat{H} for which the map

$$\hat{H} \rightarrow \text{Prim } C^*(H), \quad \pi \mapsto C^* \text{Ker } \pi$$

is continuous, where $\text{Prim } C^*(H)$ is the space of all primitive ideals of $C^*(H)$, equipped with the Jacobson topology. For all this, see [Dix], Chap. 18.

Our first result is a strengthening of Theorem A, (ii), in case G is simple.

THEOREM B. *Let G be a simple Lie group with finite centre, and let Γ be a lattice in G . Let π and π' be irreducible, non-equivalent unitary representations of G . Assume that not both are weakly contained in the regular representation λ_G of G . Let H be a subgroup of G containing Γ . Then the restrictions $\pi|_H$ and $\pi'|_H$ are not weakly equivalent, H being equipped with the discrete topology.*

The arguments used in the proof of this theorem yield also a quick proof of the theorem of Cowling and Steger (see Remark 2, below).

It should be noted that the regular representation λ_Γ of Γ is always weakly contained in $\pi|_\Gamma$ if G has trivial centre and if the (not necessarily irreducible) unitary representation π of G is not a multiple of 1_G , the trivial representation of G (see [BeH], Théorème 1). This shows that, if π and π' are both weakly contained in the regular representation λ_G , then $\pi|_H$ and $\pi'|_H$ are weakly equivalent, at least when G has a trivial centre and $H = \Gamma$.

In fact, a much stronger result was proved in [BCH], Theorem 1: assume G has trivial centre, and let H be any Zariski-dense subgroup of G , equipped with the discrete topology. Then the reduced C^* -algebra of H is simple. This means that any unitary representation weakly contained in λ_H is weakly equivalent to λ_H .

It is interesting to reformulate all these results as statements about $\text{Prim } C^*(\Gamma)$, a space which is much more tractable than \hat{F} : By simplicity of $C_r^*(\Gamma)$, $\{C^* \text{Ker } \lambda_{\Gamma}\}$ is a closed point in $\text{Prim } C^*(\Gamma)$ and $C^* \text{Ker } \lambda_{\Gamma} = C^* \text{Ker } \sigma$ for any σ which is weakly contained in λ_{Γ} . By Theorem B, if π is not weakly contained in λ_G , then $\{C^* \text{Ker } \pi \mid \Gamma\}$ and $\{C^* \text{Ker } \pi' \mid \Gamma\}$ are different points in $\text{Prim } C^*(\Gamma)$ for any $\pi' \in \hat{G}$ which is not equivalent to π .

Theorem B has an interesting application to the representation theory of the group of rational points of simple \mathbf{Q} -algebraic groups.

COROLLARY 1. *Let \mathbf{G} be a simple algebraic group defined over \mathbf{Q} . Let G be $\mathbf{G}(\mathbf{R})$, the group of the real points of \mathbf{G} , and let H be the subgroup $\mathbf{G}(\mathbf{Q})$ equipped with the discrete topology. Let π and π' be irreducible, non-equivalent unitary representations of G , and assume that not both are weakly contained in λ_G . Then $\pi \mid H$ and $\pi' \mid H$ are not weakly equivalent.*

Since, by a well-known theorem of Borel and Harish-Chandra, $\mathbf{G}(\mathbf{Z})$ is a lattice in the simple Lie group $\mathbf{G}(\mathbf{R})$, Corollary 1 follows immediately from Theorem B.

Our second result shows that there is a strong restriction on the representations in the spectrum of $\pi \mid \Gamma$ for π in \hat{G} . Its proof is based on a property of Γ , established in [BCH], which is crucial for the proof of the simplicity of $C_r^*(\Gamma)$ (see Proposition 2).

THEOREM C. *Let G be a simple Lie group with trivial centre, and let Γ be a lattice in G . Let π be an irreducible unitary representation of G , $\pi \neq 1_G$, the trivial one-dimensional representation of G . If σ is any unitary representation of Γ weakly contained in $\pi \mid \Gamma$, then σ weakly contains the regular representation λ_{Γ} .*

We do not know whether it is possible to replace Γ in Theorem C by any subgroup H containing Γ . The following related result by R. Howe and J. Rosenberg should be mentioned: any unitary representation σ of $PSL(n, \mathbf{Q})$, $\sigma \neq 1_{PSL(n, \mathbf{Q})}$, weakly contains the regular representation $\lambda_{PSL(n, \mathbf{Q})}$ ([HoR], Theorem 2).

Let G , Γ and π in \hat{G} be as in Theorem C. Let $C_{\pi}^*(\Gamma)$ denote the C^* -subalgebra of $\mathcal{L}(\mathcal{H}_{\pi})$ generated by all $\pi(\gamma)$ for γ in Γ , where $\mathcal{L}(\mathcal{H}_{\pi})$ is the C^* -algebra of all bounded linear operators on the Hilbert space \mathcal{H}_{π} of π . As we now see, C^* -algebras of this type have some remarkable properties.

First of all, by the theorem of Cowling and Steger quoted above, $C_{\pi}^*(\Gamma)$ is a primitive algebra for any π in \hat{G} (for square integrable π , see the remark after Theorem B). Observe that Theorem C implies that the regular representation λ_{Γ} is weakly contained in $\pi \mid \Gamma$ (this fact is also proved in [BeH] as mentioned above). So λ_{Γ} factorises to a representation $\lambda_{\Gamma, \pi}$ of

$C_\pi^*(\Gamma)$, and hence the reduced C^* -algebra $C_r^*(\Gamma)$ is a quotient of $C_\pi^*(\Gamma)$. Notice also that $C_r^*(\Gamma) = C_\pi^*(\Gamma)$ for any π which is weakly contained in λ_Γ .

The following corollary is an immediate consequence of Theorem C and the simplicity of $C_r^*(\Gamma)$.

COROLLARY 2. *Let G , Γ and π in \hat{G} be as in Theorem C. Then $\text{Ker } \lambda_{\Gamma, \pi}$ is the unique maximal two-sided ideal of $C_\pi^*(\Gamma)$.*

Recall that a normalized trace on a C^* -algebra A with unit is a linear map $\sigma: A \rightarrow \mathbb{C}$ such that $\sigma(1) = 1$, $\sigma(a^*a) \geq 0$ and $\sigma(ab) = \sigma(ba)$ for all a, b in A . It is proved in [BCH], Theorem 1, that the canonical trace τ on $C_r^*(\Gamma)$, defined by $\tau(\lambda_\Gamma(\gamma)) = 0$ for any γ in $\Gamma \setminus \{0\}$ and $\tau(1) = 1$, is the unique normalized trace on $C_r^*(\Gamma)$.

Using arguments similar to that for the proof of Theorem C (which in turn is based on [BCH]), we are able to generalise this to all C^* -algebras $C_\pi^*(\Gamma)$.

THEOREM D. *Let G , Γ and π in \hat{G} be as in Theorem C. Then $\tau \circ \lambda_{\Gamma, \pi}$ is the unique normalized trace on $C_\pi^*(\Gamma)$.*

Remark 1. We do not know which of the C^* -algebras $C_\pi^*(\Gamma)$ are mutually non-isomorphic. However, the following should be mentioned:

(i) If $\pi \in \hat{G}$ is not weakly contained in λ_G , then $C_\pi^*(\Gamma)$ is not isomorphic to $C_r^*(\Gamma)$. Indeed, observe that by Theorem B $\pi|_\Gamma$ is not weakly contained in λ_Γ and, hence, $\text{Ker } \lambda_{\Gamma, \pi} \neq \{0\}$ in this case. The claim now follows from Corollary 2.

(ii) Suppose $\pi, \pi' \in \hat{G}$ are non-equivalent and not both weakly contained in λ_G . Then Theorem B states that there is no isomorphism $T: C_\pi^*(\Gamma) \rightarrow C_{\pi'}^*(\Gamma)$ such that

$$T(\pi(\gamma)) = \pi'(\gamma) \quad \forall \gamma \in \Gamma.$$

Observe that the result of Cowling and Steger (Theorem A, (ii)) states only that no spatial T with this property exists.

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2. PROOF OF THEOREM B

Throughout this section, G denotes a simple non-compact Lie group with finite centre and Γ is a lattice in G .

Let ρ be the quasi-regular representation of G on $L^2(G/\Gamma)$ defined by

$$\rho(g) \xi(x) = \xi(g^{-1}x), \quad \forall g \in G, x \in G/\Gamma, \xi \in L^2(G/\Gamma).$$

Notice that ρ is the unitary representation $\text{Ind}_\Gamma^G(1_\Gamma)$ induced by the trivial representation 1_Γ . Denote by ρ_0 the restriction of ρ to

$$L_0^2(G/\Gamma) = \left\{ f \in L^2(G/\Gamma); \int_{G/\Gamma} f(x) dx = 0 \right\},$$

the subspace orthogonal to the constants.

We shall use the following crucial fact which is known to many experts and is proved in [Moo], Proposition 3.6 (see also [BeV], Proposition 2).

PROPOSITION 1. *There exists an integer N such that the N -fold tensor product $\rho_0^{\otimes N}$ is contained in $\infty\lambda_G$, an infinite multiple of the regular representation λ_G of G .*

For G not locally isomorphic to $SO_0(n, 1)$ or $SU(n, 1)$, the proof of the proposition above follows from results by M. Cowling: indeed, in this case there exists an integer N such that $\pi^{\otimes N}$ is contained in $\infty\lambda_G$ for any unitary representation π of G which does not contain the trivial representation 1_G (see [Cow], Theorems 2.4.2 and 2.5.3). In the remaining cases, the proof relies on the fact that there is a gap over 0 in the spectrum of the Laplacian on the locally symmetric space $K \backslash G/\Gamma$. The existence of such a gap is clear when Γ is cocompact and follows from results by Borel and Garland ([BoG], Theorem 3) in the general case.

To determine the optimal integer N in Proposition 1 is a very interesting and difficult problem. For instance, it is known that $N=1$ in the case $G = SL(2, \mathbf{R})$, $\Gamma = SL(2, \mathbf{Z})$ (see, e.g. [Ter], Section 3.7, Theorem 1) which means that ρ_0 is weakly contained in λ_Γ . It has been conjectured by A. Selberg that the same is true when Γ is any congruence subgroup of $SL(2, \mathbf{Z})$ (this is the famous conjecture $\lambda_1 \geq 1/4$). For related results in the case $SO(n, 1)$, see [EGM], [LPS] and [BuS].

Proof of Theorem B. Let π and π' be non-equivalent irreducible unitary representations of G . Assume that, say, π is not weakly contained in the regular representation λ_G . Suppose, by contradiction, that $\pi \mid H$ and $\pi' \mid H$ are weakly equivalent. Then $\pi \mid \Gamma$ and $\pi' \mid \Gamma$ are weakly equivalent, so the induced representations $\text{Ind}_\Gamma^G \pi \mid \Gamma$ and $\text{Ind}_\Gamma^G \pi' \mid \Gamma$ are weakly equivalent, by continuity of inducing (see [Fel], Theorem 4.1). But

$$\text{Ind}_\Gamma^G \pi \mid \Gamma = \pi \otimes \text{Ind}_\Gamma^G 1_\Gamma = \pi \oplus (\pi \otimes \rho_0),$$

and similarly $\text{Ind}_\Gamma^G \pi' \mid \Gamma = \pi' \oplus (\pi' \otimes \rho_0)$. Observe that π and π' are not weakly equivalent. Indeed, G is a group of type I so that $\text{Ker } \pi \neq \text{Ker } \pi'$

since π and π' are not equivalent (see [Dix], Theorem 9.1). By irreducibility of π and π' , this implies that π is weakly contained in $\pi' \otimes \rho_0$ and that π' is weakly contained in $\pi \otimes \rho_0$. Therefore, π is weakly contained in

$$(\pi \otimes \rho_0) \otimes \rho_0 = \pi \otimes \rho_0^{\otimes 2}.$$

By induction, we see that π is weakly contained in $\pi \otimes \rho_0^{\otimes 2n}$ for any $n \in \mathbf{N}$. Hence, from the proposition above we deduce that π is weakly contained in the regular representation λ_G . This is a contradiction, and the proof is complete. ■

Remark 2. Essentially the same argument gives a short proof for the theorem of Cowling and Steger (Theorem A). For instance, let us show that $\pi \upharpoonright \Gamma$ is irreducible if $\pi \in \hat{G}$ is not square integrable. By an elementary lemma (see [CoS], Corollaries 1.2 and 1.3), it is sufficient to show that π is not contained in $\pi \otimes \rho_0$. But if this were the case, then π would be contained in $\pi \otimes \rho_0^{\otimes 2}$. In this way, we see that π would be contained in $\pi \otimes \rho_0^{\otimes N}$ and hence in $\infty \lambda_G$ by the proposition above. This is a contradiction as π is not square integrable.

Remark 3. In the case $G = PSL(2, \mathbf{R})$, more precise results were obtained in [BeH]:

Let $(\pi_t)_{0 < t < 1}$ be the complementary series of $PSL(2, \mathbf{R})$ parametrized as in [Lan]. Then, for any lattice Γ , $\pi_t \upharpoonright \Gamma$ is not weakly contained in $\pi_s \upharpoonright \Gamma$ for $s < t$. Moreover, if $\Gamma = PSL(2, \mathbf{Z})$, then the map

$$]0, 1[\rightarrow \hat{\Gamma}, \quad t \mapsto \pi_t \upharpoonright \Gamma$$

is a homeomorphism onto its image ([BeH], Théorème 2 and Théorème 3).

Remark 4. The following amazing fact about the ideal theory of $l^1(\Gamma)$ should be mentioned.

Let $\text{Prim}_* l^1(\Gamma)$ be the set of all kernels of irreducible *-representations of $l^1(\Gamma)$. By the theorem of Cowling and Steger (and the simplicity of $C_r^*(\Gamma)$), $l^1 \text{Ker } \pi \upharpoonright \Gamma = C^* \text{Ker } \pi \upharpoonright \Gamma \cap l^1(\Gamma)$ is in $\text{Prim}_* l^1(\Gamma)$ for any $\pi \in \hat{G}$, $\pi \neq 1_G$. But since λ_Γ is weakly contained in $\pi \upharpoonright \Gamma$, $\pi \upharpoonright \Gamma$ is faithful on $l^1(\Gamma)$. Hence, $l^1 \text{Ker } \pi \upharpoonright \Gamma = \{0\}$ for all $\pi \in \hat{G}$, $\pi \neq 1_G$. On the other hand, by Theorem B, $C^* \text{Ker } \pi \upharpoonright \Gamma \neq C^* \text{Ker } \pi' \upharpoonright \Gamma'$ if π and π' are not equivalent and not both weakly contained in λ_Γ . We thus see that the map

$$\text{Prim } C^*(\Gamma) \rightarrow \text{Prim}_* l^1(\Gamma), \quad P \mapsto P \cap l^1(\Gamma)$$

is far from being injective. This is in sharp contrast to the case of connected Lie group where the above map is often injective (see [Boi], [Pog]).

3. PROOFS OF THEOREM C AND THEOREM D

In this section, G denotes a non-compact simple Lie group with trivial centre and Γ a lattice in G .

The following result, which is proved in [BCH] (Lemma 2.3, Lemma 2.4 and Theorem 2), is the crucial step in proving the simplicity of the C^* -algebra $C_r^*(\Gamma)$.

PROPOSITION 2. ([BCH]) *Let F be a finite subset of $\Gamma \setminus \{1\}$. Then there exist y_0 in Γ and a constant C such that*

$$\left\| \sum_{j=1}^{\infty} a_j \lambda_{\Gamma}(y_0^{-j} x y_0^j) \right\| \leq C \|a\|_2 \quad \forall a \in l^2(\mathbf{N}), \quad \forall x \in F,$$

where a_j is the j th term of the sequence a .

The proofs of Theorem C and Theorem D depend on the following consequence of Proposition 2.

LEMMA. *Let π be an irreducible unitary representation of G , $\pi \neq 1_G$. Let σ be a unitary representation of Γ , weakly contained in $\pi|_{\Gamma}$. Let F be a finite subset of $\Gamma \setminus \{1\}$, and let y_0 in Γ and $C > 0$ be as in Proposition 2. Then there is a real number p , $1 \leq p < \infty$, with the following property: if φ is any matrix coefficient of σ , then the sequence $(\varphi(y_0^{-j} x y_0^j))_{j \geq 1}$ lies in $l^p(\mathbf{N})$ for all $x \in F$.*

Proof. It is well-known that there is a real number q , $1 \leq q < \infty$, such that all the K -finite matrix coefficients of π lie in $L^q(G)$, K being a maximal compact subgroup of G (see, e.g., [BoW], VI, 5.4.). Hence, there is some integer N such that the N -fold tensor product $\pi^{\otimes N}$ is weakly contained in the regular representation λ_G . Since $\sigma^{\otimes N}$ is weakly contained in $\pi^{\otimes N}|_{\Gamma}$, this implies that $\sigma^{\otimes N}$ is weakly contained in λ_{Γ} . This means that

$$\|\sigma^{\otimes N}(f)\| \leq \|\lambda_{\Gamma}(f)\| \quad \forall f \in l^1(\Gamma).$$

Therefore, by Proposition 2, we have

$$\left\| \sum_{j=1}^{\infty} a_j \sigma^{\otimes N}(y_0^{-j} x y_0^j) \right\| \leq C \|a\|_2 \quad \forall a \in l^2(\mathbf{N}), \quad \forall x \in F.$$

Let $\varphi = \langle \sigma(\cdot) \xi, \eta \rangle$ be a matrix coefficient of σ . Then φ^N is a matrix coefficient of $\sigma^{\otimes N}$, and the inequality above implies that

$$\left| \sum_{j=1}^{\infty} a_j \varphi^N(y_0^{-j} x y_0^j) \right| \leq C \|\xi\|^N \|\eta\|^N \|a\|_2 \quad \forall a \in l^2(\mathbf{N}), \quad \forall x \in F.$$

Hence, the sequence $(\varphi^N(y_0^{-j}xy_0^j))_{j \geq 1}$ is in $l^2(\mathbb{N})$, and the lemma is proved. ■

Proof of Theorem C. Let σ be a unitary representation of Γ , weakly contained in $\pi \mid \Gamma$.

Since λ_Γ is a cyclic representation, it suffices to prove that δ_1 , the Dirac function at the group unit 1, is uniform limit over finite subsets of Γ of sums of positive definite functions associated with σ .

Let F be a finite subset of $\Gamma \setminus \{1\}$, and let y_0 be as in Proposition 2. Let ξ be a unit vector in the Hilbert space of σ , and define

$$\varphi(\gamma) = \langle \sigma(\gamma) \xi, \xi \rangle \quad \forall \gamma \in \Gamma.$$

Then φ is a positive definite function associated with σ , and it follows from the lemma above that

$$\lim_{j \rightarrow \infty} \varphi(y_0^{-1}xy_0^j) = 0 \quad \forall x \in F.$$

Thus,

$$\lim_{j \rightarrow \infty} \langle \sigma(x) \sigma(y_0^j) \xi, \sigma(y_0^j) \xi \rangle = \delta_1(x) \quad \forall x \in F \cup \{1\}. \quad \blacksquare$$

Proof of Theorem D. Let $\tilde{\tau}$ a normalized trace on $C_\pi^*(\Gamma)$. The function $\varphi: \Gamma \rightarrow \mathbb{C}$, defined by

$$\varphi(\gamma) = \tilde{\tau}(\pi(\gamma)) \quad \forall \gamma \in \Gamma$$

is a positive definite function on Γ , associated to a representation σ which is weakly contained in $\pi \mid \Gamma$.

Let x be a group element in $\Gamma \setminus \{1\}$, and let y_0 be as in Proposition 2, applied to $F = \{x\}$. Then, by the lemma above,

$$\lim_{j \rightarrow \infty} \varphi(y_0^{-j}xy_0^j) = 0.$$

Hence, $\varphi(x) = 0$ since φ is invariant under conjugation. By continuity, this clearly implies that $\tilde{\tau} = \tau$. ■

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